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UNITED STATES

NOV 24 SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Washington DC 411

FORM 19b-4(e)

OMB APPROVAL

OMB Number: 3235-0504 Expires: August 31, 2010 Estimated average burden hours per response.....3.60

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I **Initial Listing Report** 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: Nasdaq PHLX LLC (traded pursuant to unlisted trading privileges) Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Open End Management Investment Company 3. Class of New Derivative Securities Product: **Exchange Traded Fund** 4. Name of Underlying Instrument: FTSE South Korea RIC Capped Index 17004034 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: **Broad-based** 6. Ticker Symbol(s) of New Derivative Securities Product: **FLKR** 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Listed on: Korea Stock Exchange 8. Position Limits of New Derivative Securities Product (if applicable):

Regular way trades settle on T + 2 (cash settled)

Position Limits of New Derivative Securities Product (if applicable):

Part II Execution

> The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing

Name of Official Responsible for Form:

Colleen Steele

Assistant Corporate Secretary

Securities and Exchange Commission

Trading and Markets

Telephone Number: 301-978-8736

Title:

Manual Signature of Official Responsible for Form

NOV 24 2017

Date: November 13, 2017

Act

Section

Rule

Securities Exchange Act of 1934

SEC 2449 (6-01)

Public Availability:

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